

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS' INFORMATION DISCLOSURE STATEMENT**

Serial No.: 10/071,166  
Applicants: Richard E. Smalley et al.  
Filing Date: February 8, 2002  
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Reference Designation

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Translation Yes No
SLA AAA	EP 1 061 042 A1	12/20/2000	Europe	—	—	Yes
SLA ABA	EP 1 061 040 A1	12/20/2000	Europe	—	—	Yes

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner Initial	
SW	ACA TOHJI, <i>et al.</i> , "Purifying single-walled nanotubes," <i>Nature</i> , Volume 383, October 24, 1996, p. 679.
	ADA BOUGRINE, <i>et al.</i> , "Influence of high temperature treatments on single-walled carbon nanotubes structure, morphology and surface properties," <i>Carbon</i> , Volume 39 (2001), pp. 685-695.
	AEA HOU, <i>et al.</i> , "Purification of single-walled carbon nanotubes synthesized by the hydrogen arc-discharge method," <i>J. Mater. Res.</i> , Volume 16, Number 9, September 2001, pp. 2526-2529.
	AFA GRIMES, <i>et al.</i> , "Effect of purification of the electrical conductivity and complex permittivity of multiwall carbon nanotubes," <i>Journal of Applied Physics</i> , Volume 90, Number 8, October 15, 2001, pp. 4134-4137.
	AGA ZIMMERMAN, <i>et al.</i> , "Gas-Phase Purification of Single-Wall Carbon Nanotubes," <i>Chem. Mater.</i> Volume 12 (2000), pp. 1361-1366.
	AHA CHIANG, <i>et al.</i> , "Purification and Characterization of Single-Wall Carbon Nanotubes (SWNTs) Obtained from the Gas-Phase Decomposition of CO (HiPco Process)," <i>J. Phys. Chem. B.</i> , Volume 105 (2001), pp. 8297-8301.
	AIA CHIANG, <i>et al.</i> , "Purification and Characterization of Single-Wall Carbon Nanotubes," <i>J. Phys. Chem. B.</i> , Volume 105 (2001), pp. 1157-1161.
	AJA MOON, <i>et al.</i> , "High-Yield Purification Process of Singlewalled Carbon Nanotubes," <i>J. Phys. Chem. B.</i> , Volume 105 (2001), pp. 5677-5681.
	AKA BANDOW, <i>et al.</i> , "Purification and magnetic properties of carbon nanotubes," <i>Applied Physics A</i> , Volume 67 (1998), pp. 23-27.
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	AMA RINZLER, <i>et al.</i> , "Large-scale purification of single-wall carbon nanotubes: process, product, and characterization," <i>Applied Physics A</i> , Volume 67 (1998), pp. 29-37.
	ANA ZHOU, <i>et al.</i> , "Structural characterization and diameter-dependent oxidative stability of single wall carbon nanotubes synthesized by the catalytic decomposition of CO," <i>Chem. Phys. Lett.</i> , 350 (2001), pp. 6-14.
	AOA JEONG, <i>et al.</i> , "A new purification method of single-wall carbon nanotubes using H <sub>2</sub> S and O <sub>2</sub> mixture gas," <i>Chem. Phys. Lett.</i> , 344 (2001), pp. 18-22.
	APA DUJARDIN, <i>et al.</i> , "Purification of Single-Shell Nanotubes," <i>Adv. Mater.</i> , Volume 10, Number 8 (1998), pp. 611-613.
	AQA DILLON, <i>et al.</i> , "A Simple and Complete Purification of Single-Walled Carbon Nanotube Materials," <i>Adv. Mater.</i> , Volume 11, Number 16 (1999), pp. 1354-1358.
	ARA TOHJI, <i>et al.</i> , "Purification Procedure for Single-Walled Nanotubes," <i>J. Phys. Chem. B</i> , Volume 101 (1997), pp. 1974-1978.

Examiner:

*Hedrickson*

Date Considered:

*6/1/02*

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